



December 10, 2013

Mr. Victor Lopez-Anaya, former President
Union de Empleados de Industria Petrolera

Case Number: 130-1315479 ()
LM Number: 070191



Dear Mr. Lopez-Anaya:

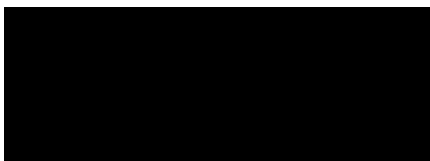
This office has recently completed an audit of Union de Empleados de Industria Petrolera under the Compliance Audit Program (CAP) to determine the organization's compliance with provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). During the course of the audit our office discovered that the union is no longer in existence since the CAPECA oil refinery shut down sometime in 2011-2012. You explained that you and Vice-President Angel Alvarado were no longer in office when the union terminated.

The following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope. The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report (Form LM-3) filed by the union for the fiscal year ending November 30, 2010, was deficient in that the end of year and the union failed to file a terminal report with OLMS.

I am not requiring that the union file terminal LM report, or that an amended LM report for 2010 to correct the deficient items, since the union is no longer in existence.

I want to extend my personal appreciation to you for the cooperation and courtesy extended during this compliance audit. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,



Investigator